

<b>Candidate Name:</b>	Harald Sexl	<b>Date of Hire or Initial Contract:</b>	January 2019
------------------------	-------------	------------------------------------------	--------------

**Application for?**

- Trademark Approver
- Auditor for FSC STD-40-004. This also covers certification of purchase of FSC Controlled Wood, single certificates with multiple sites, FSC STD-40-006a and FSC STD-40-007.
- Auditor for FSC STD-40-005 for Controlled Wood Verification
- Auditor for FSC STD-40-003 for Multisite and Group.
- Auditor for PEFC ST 2002 PEFC COC Standard.
- Auditor for SFI 2015-2019 Chain-of-Custody Standard.

**Trademark Approver Qualification**

- Signed Employment Contract or Sub-Contract Agreement (including a confidentiality agreement)
- All required documents available.
- Completed and documented induction training or work experience in SGS SSC business
- Successfully completed a recognized Trademark Training
- Minimum 10 on-product and 5 off-product trademark uses correctly checked and supervised by another Trademark Approver. Confirmation available.
- Email or letter with recommendation from Affiliate COC Manager.

Candidate is approved as a Trademark Approver

Approver Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**General Requirements for Auditors**

- Evidence of satisfactory completion of at least secondary education and at least 4 years full time experience in the forest based and related industries (or equivalent according to standard requirements, which would have to be explained in the "Remark / Concession" section).
- Candidate has demonstrated the personal behaviors defined in ISO 19011: 2011 clause 7.2
- Signed Employment Contract or Sub-Contract Agreement signed (including a confidentiality agreement)
- All required documents available. "SGS Applaud" checked.

**Trainee Auditor registration**

- Successful completion (certificate) of an IRCA registered “ISO management standard auditor course” or an ISO 19011 course on auditing techniques as described in FSC-PRO-20-004.
- Familiarity with SGS practices and procedures. Completed and documented induction training
- Successfully completed a recognized FSC COC training course (according to FSC-PRO-20-004) for being registered as FSC COC auditor.
- Successfully completed a recognized PEFC COC training course for being registered as PEFC COC auditor.
- Successfully completed SFI COC training course for being registered as SFI COC auditor.

Candidate is approved as a Trainee Auditor

Approver Name:

Signature:

Date:

**Lead Auditor Qualification**

- Meet Trainee Auditor Requirements
- No negative feedback received from clients or SGS colleagues
- For FSC-STD-40-004: Attendance in at least four (4) complete third-party COC audits in a three (3) year period, whereas:
- at least one (1) shall be a main or re-evaluation;
  - at least one (1) shall be a surveillance audit;
  - at least two (2) audits shall be led by the candidate, has to be witnessed by another Lead Auditor and a L1-audit report has to be prepared;
  - at least one (1) L1 Audit shall be witnessed by a Lead Auditor Trainer (LAT).
- s. Remarks below
- The Lead Auditor Trainer (LAT) who participated at least one L1 audit, made a recommendation for the auditor registration, based on feedbacks from all witnessed audits by co-signing the Certification Function Competence Form for COC Lead Auditors GS0708.
- For FSC-STD-40-003: L1 audit of 1 Central Office and 1 Participating Site witnessed by a LAT
- s. Remarks below
- For: FSC-STD-40-005: L1 audit of a Controlled Wood Verification System with purchases of Controlled Wood witnessed by a LAT
- For PEFC ST 2002-2013: 4 L1 audits within the last 4 years for PEFC COC or 2 L1 audits for PEFC COC for auditors that are qualified for ISO 9001, ISO14001 or FSC COC auditing in the forest based and related industries. At least 1 audit with L1 audit report witnessed by a LAT.
- s. Remarks below
- SFI 2015-2019: SFI COC audit under witness with witness audit report or compliance with the requirements for registration as PEFC COC Auditor (see above).
- All L1 audit report(s) available and approved.

Candidate is approved as a Lead Auditor

Approver Name: Sylvie Seisun

Signature:



Date: 26 Aug 2019

### Lead Auditor Trainer Qualification

- Complete a minimum 3 audits as a COC Lead Auditor
- One Witness Audit under a COC Lead Auditor Trainer
- Demonstrated technical and didactical competences to act as witness auditor.

Candidate is approved by the as a Lead Auditor Trainer

COC Certification Manager:

Signature:

Date:

### Witness Audits

Name of the Certificate Holder and Standards	Audit date	CA/RA/SA	Witnessing Auditor
Ybbstaler Zellstoff GmbH CH/ZH/1309373 FSC-STD-40-004 V3-0 PEFC ST 2002:2013	22.03.2019	SA	Urs Mühlethaler

### Remarks / Concessions

Harald Sexl has been registered as Lead Auditor for FSC-STD-40-004, FSC-STD-40-003 and PEFC ST 2002:2013 by TÜV Rheinland DIN CERTCO on 9 Aug 2017. Thus, it was decided that one witness audit with SGSCH is appropriate.

Additionally, Harald Sexl received several introductions in the SGS FSC and PEFC CoC GPPs, audit templates, SGS audit handling and reporting requirements, and handling of Applaud+ / ePAC via a series of Skype Meetings in early 2019. These were offered by the Forestry Manager of SGS Switzerland (Roland Furrer), plus for more administrative aspects by the Back Officer of the Forestry Services (Arber Behluli).

20190826 SSE: While the Level 1 audit report for Ybbstaler Zellstoff and other feedback from the witnessing auditor allows for the SGS qualification of Harald Sexl as Forestry Lead Auditor, SGS Switzerland is advised to perform another Witness Audit by mid-2020 the latest.